Behavior Development through Interaction between Acquisition and Recognition of Observed Behaviors

Yasutake Takahashi, Yoshihiro Tamura, and Minoru Asada

Abstract—Life-time development of behavior learning seems based on not only self-learning architecture but also explicit/implicit teaching from other agents that is expected to accelerates the learning. This paper presents a method for a robot to understand unfamiliar behaviors shown by others through the collaboration between behavior acquisition and recognition of observed behaviors, where the state value has an important role not simply for behavior acquisition (reinforcement learning) but also for behavior recognition (observation). That is, the state value updates can be accelerated by observation without real trials and errors while the learned values enrich the recognition system since it is based on estimation of the state value of the observed behavior. The validity of the proposed method is shown by applying it to a dynamic environment where two robots play soccer.

I. INTRODUCTION

REINFORCEMENT learning has been studied well for motor skill learning and robot behavior acquisition in both single and multi-agent environments. Especially, in the multi-agent environment, observation of others make the behavior learning rapid and therefore much more efficient [1], [2], [3]. Actually, it is desirable to acquire various unfamiliar behaviors with some instructions from others in real environment because of huge exploration space and enormous learning time to learn. Therefore, behavior learning through observation has been more important. Understanding observed behaviors does not mean simply following the trajectory of an end-effector or joints of demonstrator. It means reading his/her intention, that is, the goal of the observed behavior and finding a way how to achieve the goal by oneself regardless of the difference of the trajectory. From a viewpoint of the reinforcement learning framework, this means reading rewards of the observed behavior and estimating sequence of the value through the observation.

Takahashi et al.[4] proposed a method of not only to learn and execute a variety of behaviors but also to recognize behavior of others supposing that the observer has already acquired the values of all kinds of behaviors the observed agent can do. The recognition means, in this paper, that the robot categorizes the observed behavior to a set of its own behaviors acquired beforehand. The method seamlessly combines behavior acquisition and recognition based on "state value" in reinforcement learning scheme. Reinforcement learning generates not only an appropriate behavior (a map from states to actions) to accomplish a given task but also an utility of the behavior, an estimated discounted sum of rewards that will be received in future while the robot is taking an appropriate policy. This estimated discounted sum of reward is called "state value." This value roughly indicates closeness to the goal state of the given task if the robot receives a positive reward when it reaches the goal and zero else, that is, if the agent is getting closer to the goal, the value becomes higher. This suggests that the observer may recognize which goal the observed agent likes to achieve if the value of the corresponding task is going higher.

This paper proposes a novel method that enhances behavior acquisition and recognition based on interaction between learning and observation of behaviors. A robot learns its behaviors through not only trials and errors but also reading rewards of the observed behaviors of others (including robots and humans). Fig.1 shows a rough idea of our proposed method. V(s) and $\hat{V}(s)$ are the state value updated by oneself and the state value estimated though observation, respectively. Takahashi et al.[4] showed the capability of the



Fig. 1. Interaction between Learning and Observation of Behaviors

proposed method mainly in case that the observer has already acquired a number of behaviors to be recognized beforehand. Their case study showed how this system recognizes observed behaviors based on the state value functions of selfbehaviors. This paper shows how the estimated state value of observed behavior, $\hat{V}(s)$, gives feedback to learning and understanding unfamiliar observed behaviors and this feedback loop enhances the performance of observed behavior recognition. The validity of the proposed method is shown by applying it to a dynamic environment where two robots play soccer.

II. EXPERIMENTAL SETUP AND AN ASSUMPTION

Fig.2 shows two robots, a human player and color-coded objects, e.g., an orange ball, and a goal. The robot has an omni-directional camera on top. A simple color image processing is applied in order to detect the color-coded

Yasutake Takahashi, Yoshihiro Tamura, and Minoru Asada are with the Department of Adaptive Machine Systems, Graduate School of Engineering, Osaka University, Yamadaoka 2-1, Suita, Osaka, 565-0871, Japan (email: {yasutake,yoshihiro.tamura,asada}@ams.eng.osaka-u.ac.jp).



Fig. 2. Robots with a human player in a Soccer Field

objects and players in real-time. The mobile platform is based on an omni-directional vehicle. These two robots and the human play soccer such as dribbling a ball, kicking it to a goal, passing a ball to the other, and so on. While playing with objects, they watch each other and try to understand observed behaviors and emulate them. In this paper, all experiments are done in computer simulation environment and the real robot experiments are planning to have in near future.

A learning/recognizing robot assumes that all robots and even the human player share reward models of the behaviors. For example, all robots and the human player receive a positive reward when the ball is kicked into the goal. This assumption is very natural as we assume that we share "value" with colleagues, friends, or our family in our daily life.

III. OUTLINE OF THE MECHANISMS

The reinforcement learning scheme, the state/action value function, and the modular learning system for various behavior acquisition/emulation are explained, here.

A. Behavior Learning Based on Reinforcement Learning



Fig. 3. Agent-environment interaction

Fig.3 shows a basic model of reinforcement learning. An agent can discriminate a set S of distinct world states. The world is modeled as a Markov process, making stochastic transitions based on its current state and the action taken by the agent based on a policy π . The agent receives reward r_t at each step t. State value V^{π} , the discounted sum of the reward received over time under execution of policy π , will be calculated as follows:

$$V^{\pi} = \sum_{t=0}^{\infty} \gamma^t r_t \quad . \tag{1}$$

In case that the agent receives a positive reward if it reaches a specified goal and zero else, then, the state value increases if the agent follows a good policy π . The agent updates its policy through the interaction with the environment in order to receive higher positive rewards in future. Analogously, as animals get closer to former action sequences that led to goals, they are more likely to retry it. For further details, please refer to the textbook of Sutton and Barto[5] or a survey of robot learning[6].

Here we introduce model-based reinforcement learning method. A learning module has a forward model which represents the state transition model and a behavior learner which estimates the state-action value function based on the forward model in a reinforcement learning manner.

Each learning module has its own state transition model. This model estimates the state transition probability $\hat{\mathcal{P}}_{ss'}^{a}$ for the triplet of state *s*, action *a*, and next state *s'*:

$$\hat{\mathcal{P}}^{a}_{ss'} = Pr\{s_{t+1} = s' | s_t = s, a_t = a\}$$
(2)

Each module has a reward model $\hat{\mathcal{R}}_s$, too:

$$\hat{\mathcal{R}}(s) = E\{r_t | s_t = s\}$$
(3)

All experiences (sequences of state-action-next state and reward) are simply stored to estimate these models.

Now we have the estimated state transition probability $\hat{\mathcal{P}}^{a}_{ss'}$ and the expected reward $\hat{\mathcal{R}}_{s}$, then, an approximated stateaction value function Q(s, a) for a state action pair s and a is given by

$$Q(s,a) = \sum_{s'} \hat{\mathcal{P}}^a_{ss'} \left[\hat{\mathcal{R}}(s') + \gamma V(s') \right]$$
(4)

$$V(s) = \max_{a} Q(s, a) , \qquad (5)$$

where γ is a discount factor.

B. Modular Learning System



Fig. 4. Modular learning system

In order to observe/learn/execute a number of behaviors in parallel, we adopt a modular learning system. Many modular architectures have been proposed so far [7], [8], [9]. Each module is responsible for learning to achieve a single goal. One arbiter or a gate module is responsible for merging information from the individual modules in order to derive a single action performed by the robot. Fig.4 shows a sketch of such a modular learning system. We prepare a number of behavior modules (BMs in the figure) each of which adopts the behavior learning method described in III-A. The module is assigned to one goal-oriented behavior and estimates one action value function Q(s, a). A module receives a positive reward when it accomplishes the assigned behavior or zero reward else. The behavior module has a controller that generates predictions of next state values, selecting the action with the maximum value. The gating module will then select one output from the inputs of the different behavior modules according to the player's intention.

C. Behavior Recognition based on Estimated Values

Each behavior module can estimate a state value of observed behavior at an arbitrary time t to accomplish the specified task. An observer watches a demonstrator's behavior and maps the sensory information from an observer viewpoint to a demonstrator's one with a simple mapping of state variables¹. Fig.5 shows a simple example of this transformation. It detects color-coded objects on the omnidirectional image, calculates distances and directions of the objects in the world coordinate of the observer, and shifts the axes so that the position of the demonstrator comes to center of the demonstrator's coordinate. Then it roughly estimates the state information in the egocentric coordinate and the state of the demonstrator. Every behavior module estimates a sequence of its state value from the estimated state of the observed demonstrator and the system selects modules which values are increasing. The learner tries to acquire a number

TABLE I LIST OF BEHAVIORS LEARNED BY SELF AND STATE VARIABLES FOR EACH BEHAVIOR

Behavior	State variables
Approaching a ball	d_b
Approaching a goal	d_g
Approaching the teammate	d_r
Shooting a ball	d_b, d_g, θ_{bq}
Passing a ball	d_b, d_r, θ_{br}

of behaviors shown in Table I. The table also describes necessary state variables shown in Fig.5 for each behavior. Each state variable is divided into 11 in order to construct quantized state space. 4 actions are prepared to be selected by the learning modules: Approaching the goal, approaching the teammate, going in front of the ball while watching the goal, and going in front of the ball while watching the teammate.

Fig.6 shows an example task of navigation in a grid world and a map of the state value of the task. There is a goal state at the top center of the world. An agent can move one of the neighboring square in the grids every step. It receives a positive reward only when it stays at the goal state while zero else. There are various optimal/suboptimal policies for this task as shown in the figure. If one tries to match the action that the agent took and the one based on a certain policy in order to recognize the observed behavior, it has to maintain various optimal policies and evaluate all of them in the worst case. On the other hand, if the agent follows



Fig. 5. Estimation of view of the demonstrator. Left : a captured image the of observer, Center : object detection and state variables for self, Right : estimation of view of the demonstrator



Fig. 6. Behavior recognition based on the change of state value

an appropriate policy, the value is going up even if it is not exactly the optimal one. Likewise, in emulation one is not committed with the optimal policy, as the behaviors are the ones available in the portfolio of the agent, which are not necessarily the optimal ones, but the ones that the agent knows to lead to the goal.



Fig. 7. Behavior inference diagram

While an observer watches a demonstrator's behavior, it uses the same behavior modules for recognition of observed behavior as shown in Fig.7. Each behavior module estimates the state value based on the estimated state of the observed demonstrator and sends it to the selector. The selector watches the sequence of the state values and selects a set of possible behavior modules of which state values are going up as a set of behaviors the demonstrator is currently taking. As mentioned before, if the state value goes up during a behavior, it means that the module is valid for explaining the behavior. The observed behavior is recognized by a set of behaviors whose modules' values are increasing.

Here we define reliability g that indicates how much the observed behavior would be reasonable to be recognized as a behavior

$$g = \begin{cases} g + \beta & \text{if } V_t - V_{t-1} > 0 \text{ and } g < 1 \\ g & \text{if } V_t - V_{t-1} = 0 \\ g - \beta & \text{if } V_t - V_{t-1} < 0 \text{ and } g > 0 \end{cases},$$

¹For reasons of consistency, the term "demonstrator" is used to describe any agent from which an observer can learn, even if the demonstrator does not have an intention to show its behavior to the observer.

where β is an update parameter, and 0.1 in this paper. This equation indicates that the reliability g will become large if the estimated utility rises up and it will become low when the estimated utility goes down. We put another condition in order to keep g value from 0 to 1.

D. Learning from Observation

In the previous section, behavior recognition system based on state value of its own behavior is described. This system shows robust recognition of observed behavior[10] only when the behavior to be recognized has been well-learned beforehand. If the behavior is under learning, then, the recognition system is not able to show good recognition performance but it can at least read reward at the final state. The trajectory of the observed behavior can be a bias for learning behavior and might enhance the behavior learning based on the trajectory and the read reward. The observer cannot watch actions of observed behavior directly and can only estimate the sequence of the state of the observed robot. Let s_t^o be the estimated state of the observed robot at time t. Then, the estimated state value \hat{V}^o of the observed behavior can be calculated as below:

$$\hat{V}^{o}(s) = \sum_{s'} \hat{\mathcal{P}}^{o}_{ss'} \left[\hat{\mathcal{R}}(s') + \gamma V^{o}(s') \right]$$
(6)

where $\hat{\mathcal{P}}^{o}_{ss'}$ is state transition probability estimated from the behavior observation. This state value function \hat{V}^{o} can be used for can be used as a bias of the state value function of the learner V. The learner updates its state-action value function Q(s, a) during trials and errors based on the estimated state value of observed behavior \hat{V}^{o} as below:

$$Q(s,a) = \sum_{s'} \hat{\mathcal{P}}^a_{ss'} \left[\hat{\mathcal{R}}(s') + \gamma V'(s') \right]$$
(7)

while

$$V'(s) = \begin{cases} V(s) & \text{ if } V(s) > \hat{V^o}(s) \\ \hat{V^o}(s) & \text{ else} \end{cases}$$

This is a normal update equation as shown in (4) except using V'(s). The update system switches the state value of the next state s' between the state value of own learning behavior V(s') and the one of the observed behavior $\hat{V^o}(s')$. It takes V(s') if the state value of own learning behavior V(s') is bigger than the one of the observed behavior $\hat{V^o}(s')$, $\hat{V^o}(s')$ else. This means the state value update system takes $\hat{V^o}(s')$ if the learner does not estimate the state value V(s') because of lack of experience at the state s' from which it reaches to the goal of the behavior. $\hat{V^o}(s')$ becomes a bias for reinforcing the action a from the state s even though the state value of its own behavior V(s') is small so that it leads the learner to explore the space near to the goal state of the behavior effectively.

IV. BEHAVIOR DEVELOPMENT THROUGH INTERACTION

A. Experimental setup

In order to validate the effect of interaction between acquisition and recognition of behaviors through observation,

two experiments are set up. One is that the learner does not observe the behavior of other but tries to acquire shooting/passing behaviors by itself. The other is that the learner observes the behavior of other and enhances the learning of the behavior based on the estimated state value of the observed behavior. In former experiment, the learner follows the learning procedure:

- 1) 10 episodes for behavior learning by itself
- 2) evaluation of self-behavior performance
- 3) evaluation of behavior recognition performance
- 4) goto 1.

On the other hand, the later experiment, it follows :

- 1) 10 episodes for observation of the behavior of the other
- 2) 10 episodes for behavior learning by self-trials with observed experience
- 3) evaluation of self-behavior performance
- 4) evaluation of behavior recognition performance
- 5) goto 1.



Fig. 8. Predefined positions on the soccer field for experiments

In both experiments, the learner follows ϵ -greedy method; it follows the greedy policy with 90% probalibity and takes a random action else. Performance of the behaviors execution and recognition of observed behavior during the learning time is evaluated every 10 learning episodes. The performance of the behavior execution is success rate of the behavior while the learner, the ball, and the teammate are placed at a set of pre-defined positions. The one of the behavior recognition is average length of period in which the recognition reliability of the right behavior is larger than 80% during the observation. The soccer field area is divided 3 by 3 and the center of the each area is a candidate of the position of the ball, the learner, or the teammate as shown in Fig.8. The performances are evaluated in all possible combinations of the positions.

B. Recognition of Observed Behaviors

Before evaluating the performance of the behavior execution and behavior recognition of other during learning the behavior, we briefly review how this system estimates the values of behaviors and recognizes the observed behavior after the observer has learned behaviors. When the observer watches a behavior of the other, it recognizes the observed



Fig. 9. The cyan player observes the magenta player's behavior of pushing a ball to the cyan player



C. Performance of Behavior Learning and Recognition

In this section, performances of the behavior execution and behavior recognition during learning the behavior are shown. Fig.11 shows 5 sequences of success rates of the passing behavior during learning in cases of learning with/without value update through observation. The success rate with value update grows more rapidly than the one without observation feedback. Rapid learning is one of the most important



Fig. 10. Sequence of estimated values and reliabilities during a behavior of pushing a ball to the magenta player, red line : approaching a ball, green line : approaching the goal, light blue line : passing, blue line : approaching the other, magenta line : shooting

aspect for a real robot application. The success rate without value update through observation sometimes could not reach the goal of the behavior at the beginning of the learning because there is no bias to lead the robot to learn appropriate actions. On the other hand, the system with value update through observation utilizes the observation to bootstrap the learning even though it cannot read exact actions of observed behavior.

5 sequences of recognition rates for observed passing behavior shown in Fig.12 indicate a similar aspect with the ones of success rates. The performance of the behavior recognition depends on the learning performance. If the learning system has not acquired data enough to estimate state value of the behavior, it cannot perform well. The learning system with value update with observed behavior rapidly enables to recognize the behavior while the system without value update based on the observation has to wait to realize a good recognition performance until it estimates good state value of the behavior by its own trials and errors.

Those figures show the importance of learning through interaction between behavior acquisition and recognition of observed behaviors.



Fig. 11. Success rate of the passing behavior during learning with/without observation of demonstrator's behavior

V. CONCLUSION AND FUTURE WORK

Above, values are defined as behaviors, which are defined by the achieved goals. The observer uses its own value functions to recognize what the demonstrator will do. Preliminary investigations in a similar context have been done by Takahashi at el. [10] and they showed much better robustness of behavior recognition than a typical method. In this paper, unknown behaviors are also understood in term of one's own value function through learning based on the estimated values derived from the observed behaviors. Furthermore, value update through the observation enhances not only the performance of behavior learning but also the one of recognition of the observed behavior effectively.

As future work, the behavior recognition system can be extended to generate internal rewards for cooperative/competitive behaviors. If the observer can estimate the value of the observed behavior, it might be possible to recognize the other's intention, therefore the observer not only imitate the observed behavior but also learn cooperative/competitive behaviors for the demonstrator according to the estimated values. Internal rewards should be also developed through observation while we assume that the reward models of the behaviors are shared among robots or other entities. Related works have proposed many types of internal/intrinsic rewards for learning acceleration or decomposition of long time-scale tasks. By cooping with the



Fig. 12. Recognition rate of the passing behavior during learning with/without observation of demonstrator's behavior

proposed methods, developmental behavior acquisition will be achieved on real robots in our daily life.

REFERENCES

- S. D. Whitehead, "Complexity and cooperation in q-learning," in *Proceedings Eighth International Workshop on Machine Learning* (ML91), 1991, pp. 363–367.
- [2] B. Price and C. Boutilier, "Accelerating reinforcement learning through implicit imitatione," *Journal of Articial Intelligence Research*, 2003.
- [3] D. C. Bentivegna, C. G. Atkeson, and G. Chenga, "Learning tasks from observation and practice," *Robotics and Autonomous Systems*, vol. 47, pp. 163–169, 2004.
- [4] Y. Takahashi, T. Kawamata, M. Asada, and M. Negrello, "Emulation and behavior understanding through shared values," in *Proceedings* of the 2007 IEEE/RSJ International Conference on Intelligent Robots and Systems, Oct 2007, pp. 3950–3955.
- [5] R. Sutton and A. Barto, *Reinforcement Learning: An Introduction*. Cambridge, MA: MIT Press, 1998. [Online]. Available: citeseer.ist.psu.edu/sutton98reinforcement.html
- [6] J. H. Connell and S. Mahadevan, *ROBOT LEARNING*. Kluwer Academic Publishers, 1993.
- [7] R. Jacobs, M. Jordan, N. S, and G. Hinton, "Adaptive mixture of local experts," *Neural Computation*, vol. 3, pp. 79–87, 1991.
- [8] S. P. Singh, "Transfer of learning by composing solutions of elemental sequential tasks." *Machine Learning*, vol. 8, pp. 323–339, 1992. [Online]. Available: citeseer.nj.nec.com/singh92transfer.html
- [9] S. Whitehead, J. Karlsson, and J. Tenenberg, "Learning multiple goal behavior via task decomposition and dynamic policy merging," in *ROBOT LEARNING*, J. H. Connell and S. Mahadevan, Eds. Kluwer Academic Publishers, 1993, ch. 3, pp. 45–78.
- [10] Y. Takahashi, T. Kawamata, and M. Asada, "Learning utility for behavior acquisition and intention inference of other agent," in *Proceedings of the 2006 IEEE/RSJ IROS 2006 Workshop on Multiobjective Robotics*, Oct 2006, pp. 25–31.