Mutual Development of Behavior Acquisition and Recognition based on Value System

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Abstract. Both self-learning architecture (embedded structure) and explicit/implicit teaching from other agents (environmental design issue) are necessary not only for one behavior learning but more seriously for life-time behavior learning. This paper presents a method for a robot to understand unfamiliar behavior shown by others through the collaboration between behavior acquisition and recognition of observed behavior, where the state value has an important role not simply for behavior acquisition (reinforcement learning) but also for behavior recognition (observation). That is, the state value updates can be accelerated by observation without real trials and errors while the learned values enrich the recognition system since it is based on estimation of the state value of the observed behavior. The validity of the proposed method is shown by applying it to a dynamic environment where two robots play soccer.

Key words: Reinforcement Learning, Behavior Recognition, Value system, Learning by Observation

1 Introduction

Reinforcement learning has been studied well for motor skill learning and robot behavior acquisition in both single and multi-agent environments. Especially, in the multi-agent environment, observation of others make the behavior learning rapid and therefore much more efficient [1–3]. Actually, it is desirable to acquire various unfamiliar behavior with some instructions from others in real environment because of huge exploration space and enormous learning time to learn. Therefore, behavior learning through observation has been more important. Understanding observed behavior does not mean simply following the trajectory of an end-effector or joints of demonstrator. It means reading his/her intention, that is, the goal of the observed behavior and finding a way how to achieve the goal by oneself regardless of the difference of the trajectory. From a viewpoint of the reinforcement learning framework, this means reading rewards of the observed behavior and estimating sequence of the value through the observation.

Takahashi et al.[4] proposed a method of not only to learn and execute a variety of behaviors but also to recognize behavior of others supposing that the

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observer has already acquired the values of all kinds of behaviors the observed agent can do. The recognition means, in this paper, that the robot categorizes the observed behavior to a set of its own behaviors acquired beforehand. The method seamlessly combines behavior acquisition and recognition based on "state value" in reinforcement learning scheme. Reinforcement learning generates not only an appropriate behavior (a map from states to actions) to accomplish a given task but also an utility of the behavior, an estimated discounted sum of rewards that will be received in future while the robot is taking an appropriate policy. This estimated discounted sum of reward is called "state value." This value roughly indicates closeness to the goal state of the given task if the robot receives a positive reward when it reaches the goal and zero else, that is, if the agent is getting closer to the goal, the value becomes higher. This suggests that the observer may recognize which goal the observed agent likes to achieve if the value of the corresponding task is going higher.

This paper proposes a novel method that enhances behavior acquisition and recognition based on interaction between learning and observation of behaviors. A robot learns its behaviors through not only trials and errors but also reading rewards of the observed behaviors of others (including robots and humans). Fig.1 shows a rough idea of our proposed method. V(s) and $\hat{V}(s)$ are the state value updated by oneself and the state value estimated though observation, respectively. Takahashi et al.[4] showed the capability of the proposed method mainly in case that the observer has already acquired a number of behaviors to be recognized beforehand. Their case study showed how this system recognizes observed behaviors based on the state value functions of self-behaviors. This paper shows how the estimated state value of observed behavior, $\hat{V}(s)$, gives feedback to learning and understanding unfamiliar observed behaviors and this feedback loop enhances the performance of observed behavior recognition. The validity of the proposed method is shown by applying it to a dynamic environment where two robots play soccer.



Fig. 1. Interaction between Learning and Observation of Behavior



Fig. 2. Robots with a human player in a Soccer Field

2 Experimental Setup and An Assumption

Fig.2 shows two robots, a human player and color-coded objects, e.g., an orange ball, and a goal. The robot has an omni-directional camera on top. A simple color image processing is applied in order to detect the color-coded objects and players in real-time. The mobile platform is based on an omni-directional vehicle. These two robots and the human play soccer such as dribbling a ball, kicking it to a goal, passing a ball to the other, and so on. While playing with objects, they watch each other, try to understand observed behaviors of the other, and emulate them. In this paper, all experiments are done in computer simulation environment due to space limitation.

A learning/recognizing robot assumes that all robots and even the human player share reward models of the behaviors. For example, all robots and the human player receive a positive reward when the ball is kicked into the goal. This assumption is very natural as we assume that we share "value" with colleagues, friends, or our family in our daily life.

3 Outline of the Mechanisms

3.1 Behavior Learning Based on Reinforcement Learning

An agent can discriminate a set S of distinct world states. The world is modeled as a Markov process, making stochastic transitions based on its current state and the action taken by the agent based on a policy π . The agent receives reward r_t at each step t. State value V^{π} , the discounted sum of the reward received over time under execution of policy π , will be calculated as follows:

$$V^{\pi} = \sum_{t=0}^{\infty} \gamma^t r_t \quad . \tag{1}$$

In case that the agent receives a positive reward if it reaches a specified goal and zero else, then, the state value increases if the agent follows a good policy π . The agent updates its policy through trials and errors in order to receive higher positive rewards in future. Analogously, as animals get closer to former action sequences that led to goals, they are more likely to retry it. For further details, please refer to the textbook of Sutton and Barto[5] or a survey of robot learning[6].

Here we introduce model-based reinforcement learning method. A learning module has a forward model which represents the state transition model and a behavior learner which estimates the state-action value function based on the forward model in a reinforcement learning manner. Each learning module has its own state transition model. This model estimates the state transition probability $\hat{\mathcal{P}}_{ss'}^a$ for the triplet of state s, action a, and next state s':

$$\hat{\mathcal{P}}^{a}_{ss'} = Pr\{s_{t+1} = s' | s_t = s, a_t = a\}$$
(2)

Each module has a reward model $\hat{\mathcal{R}}_s$, too:

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$$\hat{\mathcal{R}}(s) = E\{r_t | s_t = s\}$$
(3)

All experiences (sequences of state-action-next state and reward) are simply stored to estimate these models. Now we have the estimated state transition probability $\hat{\mathcal{P}}^a_{ss'}$ and the expected reward $\hat{\mathcal{R}}_s$, then, an approximated state-action value function Q(s, a) for a state action pair s and a is given by

$$Q(s,a) = \sum_{s'} \hat{\mathcal{P}}^a_{ss'} \left[\hat{\mathcal{R}}(s') + \gamma V(s') \right]$$
(4)

$$V(s) = \max_{a} Q(s, a) , \qquad (5)$$

where γ is a discount factor.

3.2 Modular Learning System

In order to observe/learn/execute a number of behaviors in parallel, we adopt a modular learning system. Many modular architectures have been proposed so far (for example [6]). Each module is responsible for learning to achieve a single goal. One arbiter or a gate module is responsible for merging information from the individual modules in order to derive a single action performed by the robot.

We prepare a number of behavior modules each of which adopts the behavior learning method described in 3.1. The module is assigned to one goal-oriented behavior and estimates one action value function Q(s, a). A module receives a positive reward when it accomplishes the assigned behavior or zero reward else. The behavior module has a controller that generates predictions of next state values, selecting the action with the maximum value. The gating module will then select one output from the inputs of the different behavior modules according to the player's intention.

The same behavior modules are used for the behavior recognition. Each behavior module estimates the state value based on the estimated state of the observed demonstrator¹ and calculates reliability of observed behavior, that is, how likely the demonstrator is taking the behavior of the module. The details are described in following sections.

3.3 Behavior Recognition based on Estimated Values

Each behavior module can estimate a state value of observed behavior at an arbitrary time t to accomplish the specified task. An observer watches a demonstrator's behavior and maps the sensory information from an observer viewpoint to a demonstrator's one with a simple mapping of state variables. Fig.3 shows

¹ For reasons of consistency, the term "demonstrator" is used to describe any agent from which an observer can learn, even if the demonstrator does not have an intention to show its behavior to the observer.

a simple example of this transformation. It detects color-coded objects on the omni-directional image, calculates distances and directions of the objects in the world coordinate of the observer, and shifts the axes so that the position of the demonstrator comes to center of the demonstrator's coordinate. Then it roughly estimates the state information in the egocentric coordinate and the state of the demonstrator. Every behavior module estimates a sequence of its state value from the estimated state of the observed demonstrator and the system selects modules which values are increasing. The learner tries to acquire a number of behaviors shown in Table 1. The table also describes necessary state variables shown in Fig.3 for each behavior. Each state variable is divided into 11 in order to construct quantized state space. 4 actions are prepared to be selected by the learning modules: Approaching the goal, approaching the teammate, going in front of the ball while watching the goal, and going in front of the ball while watching the teammate.

Table 1. List of behaviors learned by self and state variables for each behavior

| Behavior | State variables |
|--------------------------|---|
| Approaching a ball | d_b |
| Approaching a goal | d_g |
| Approaching the teammate | d_r |
| Shooting a ball | d_b, d_g, θ_{bg} |
| Passing a ball | $egin{aligned} & d_b, d_g, 	heta_{bg} \ & d_b, d_r, 	heta_{br} \end{aligned}$ |



Fig. 3. Estimation of view of the demonstrator. Left : a captured image the of observer, Center : object detection and state variables for self, Right : estimation of view of the demonstrator

While an observer watches a demonstrator's behavior, it uses the same behavior modules for recognition of observed behavior as shown in Fig.??. Each behavior module estimates the state value based on the estimated state of the observed demonstrator and sends it to the selector. The selector watches the sequence of the state values and selects a set of possible behavior modules of which state values are going up as a set of behaviors the demonstrator is currently taking. As mentioned before, if the state value goes up during a behavior, it means that the module is valid for explaining the behavior. The observed behavior is recognized by a set of behaviors whose modules' values are increasing.

Here we define reliability g that indicates how much the observed behavior would be reasonable to be recognized as a behavior

$$g = \begin{cases} g + \beta & \text{if } V_t - V_{t-1} > 0 \text{ and } g < 1 \\ g & \text{if } V_t - V_{t-1} = 0 \\ g - \beta & \text{if } V_t - V_{t-1} < 0 \text{ and } g > 0 \end{cases}$$

where β is an update parameter, and 0.1 in this paper. This equation indicates that the reliability g will become large if the estimated utility rises up and it will become low when the estimated utility goes down. Another condition is to keep g value from 0 to 1.

3.4 Learning by Observation

In the previous section, behavior recognition system based on state value of its own behavior is described. This system shows robust recognition of observed behavior[7] only when the behavior to be recognized has been well-learned beforehand. If the behavior is under learning, then, the recognition system is not able to show good recognition performance at beginning. The trajectory of the observed behavior can be a bias for learning behavior and might enhance the behavior learning based on the trajectory. The observer cannot watch actions of observed behavior directly and can only estimate the sequence of the state of the observed robot. Let s_t^o be the estimated state of the observed robot at time t. Then, the estimated state value \hat{V}^o of the observed behavior can be calculated as below:

$$\hat{V}^{o}(s) = \sum_{s'} \hat{\mathcal{P}}^{o}_{ss'} \left[\hat{\mathcal{R}}(s') + \gamma V^{o}(s') \right]$$
(6)

where $\hat{\mathcal{P}}_{ss'}^{o}$ is state transition probability estimated from the behavior observation. This state value function \hat{V}^{o} can be used for can be used as a bias of the state value function of the learner V. The learner updates its state-action value function Q(s, a) during trials and errors based on the estimated state value of observed behavior \hat{V}^{o} as below:

$$Q(s,a) = \sum_{s'} \hat{\mathcal{P}}^a_{ss'} \left[\hat{\mathcal{R}}(s') + \gamma V'(s') \right]$$
(7)

while

$$V'(s) = \begin{cases} V(s) & \text{if } V(s) > \hat{V^o}(s) \\ \hat{V^o}(s) & \text{else} \end{cases}$$

This is a normal update equation as shown in (4) except using V'(s). The update system switches the state value of the next state s' between the state value of own learning behavior V(s') and the one of the observed behavior $\hat{V}^o(s')$. It takes V(s') if the state value of own learning behavior V(s') is bigger than the one of the observed behavior $\hat{V}^o(s')$, $\hat{V}^o(s')$ else. This means the state value update system takes $\hat{V}^o(s')$ if the learner does not estimate the state value V(s') because of lack of experience at the state s' from which it reaches to the goal of the behavior. $\hat{V}^o(s')$ becomes a bias for reinforcing the action a from the state s even though the state value of its own behavior V(s') is small so that it leads the learner to explore the space near to the goal state of the behavior effectively.

A demonstrator is supposed to show a number of behaviors which are not informed directly to the observer. In order to update the estimate values of the behavior the demonstrator is taking, the observer has to estimate which behavior the demonstrator is taking correctly. If the observer waits to learn some specific behavior by observation until it becomes able to recognize the observed behavior well, bootstrap of leaning unfamiliar behaviors by observation cannot be expected. Therefore, two strategies of updating value functions of observed behaviors here:

- update all value functions of observed behaviors $(\hat{V}^o(s'))$ based on all observed trajectories
- update value functions of observed behaviors with high reliability using history of the observed trajectories

The former strategy contributes to propagate values/rewards to the neiboring state. Even if the observed behavior does not match the expected behavior, the state transition through the observation gives rough hints on distances between states that help to develop rough state values of behaviors. The latter strategy enhances to estimate appropriate values of the observed behavior. This directly contributes to bootstrap learning of the behavior. The former strategy sometimes produces wrong state value estimation and the latter strategy corrects the estimation based on appropriate state transition of the behaviors.

4 Behavior Learning by Observation

4.1 Experimental setup

In order to validate the effect of interaction between acquisition and recognition of behaviors through observation, two experiments are set up. One is that the learner does not observe the behavior of other but tries to acquire shooting/passing behaviors by itself. The other is that the learner observes the behavior of other and enhances the learning of the behavior based on the estimated state value of the observed behavior. In former experiment, the learner follows the learning procedure:

- 1. 10 episodes for behavior learning by itself
- 2. evaluation of self-behavior performance
- 3. evaluation of behavior recognition performance
- 4. goto 1.

On the other hand, the later experiment, it follows :

1. 10 episodes for observation of the behavior of the other

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- 2. 10 episodes for behavior learning by self-trials with observed experience
- 3. evaluation of self-behavior performance
- 4. evaluation of behavior recognition performance
- 5. goto 1.

The both learners attempt to acquire behaviors listed in Table 1. The demonstrator shows the behavior one by one but the observer does not know which behavior the demonstrator is taking. In both experiments, the learner follows ϵ -greedy method; it follows the greedy policy with 80% probalibity and takes a random action else. Performance of the behaviors execution and recognition of observed behavior during the learning time is evaluated every 10 learning episodes. The performance of the behavior execution is success rate of the behavior while the learner, the ball, and the teammate are placed at a set of pre-defined positions. The one of the behavior recognition is larger than 70% during the observation. The soccer field area is divided 3 by 3 and the center of the each area is a candidate of the position of the ball, the learner, or the teammate. The performances are evaluated in all possible combinations of the positions.

4.2 Recognition of Observed Behaviors

Before evaluating the performance of the behavior execution and behavior recognition of other during learning the behavior, we briefly review how this system estimates the values of behaviors and recognizes the observed behavior after the observer has learned behaviors. When the observer watches a behavior of the other, it recognizes the observed behavior based on repertoire of its own behaviors. Figs.4 (a) and (b) show sequences of estimated values and reliabilities of the behaviors, respectively. The line that indicates the passing behavior keeps tendency of increasing value during the behavior in this figures. This behavior is composed of behaviors of approaching a ball and approaching the teammate



Fig. 4. Sequence of estimated values and reliabilities during a behavior of pushing a ball to the magenta player, red line : approaching a ball, green line : approaching the goal, light blue line : passing, blue line : approaching the other, magenta line : shooting

again, then, the line of approaching a ball goes up at the earlier stage and the line of approaching the teammate goes up at the later stage in Fig.4(a). All reliabilities start from 0.5 and increase if the value goes up and decrease else. Even when the value stays low, if it is increasing with small value, the reliability of the behavior increases rapidly. The reliability of the behavior of pushing a ball into the teammate reaches 1.0 at middle stage of the observed behavior. The performance of observed behavior recognition is 85% here that means, the period in which the reliability of passing behavior is over 70% is 85% during the observation.

4.3 Performance of Behavior Learning and Recognition

In this section, performances of the behavior execution and behavior recognition during learning the behavior are shown. Fig.5 shows success rates of the behaviors and their variances during learning in cases of learning with/without value update through observation. The success rates with value update of all kinds of behaviors grows more rapidly than the one without observation feedback. Rapid learning is one of the most important aspect for a real robot application. The success rate without value update through observation sometimes could not reach the goal of the behavior at the beginning of the learning because there is no bias to lead the robot to learn appropriate actions. This is the reason why the variances of the rate is big. On the other hand, the system with value update through observation utilizes the observation to bootstrap the learning even though it cannot read exact actions of observed behavior.

Recognition rates for observed behaviors and their variances shown in Fig.6 indicate a similar aspect with the ones of success rates. The performance of the behavior recognition depends on the learning performance. If the learning system has not acquired data enough to estimate state value of the behavior, it cannot perform well. The learning system with value update with observed behavior rapidly enables to recognize the behavior while the system without value update based on the observation has to wait to realize a good recognition performance until it estimates good state value of the behavior by its own trials and errors.



Fig. 5. Success rate of the behaviors during learning with/without observation of demonstrator's behavior



Fig. 6. Recognition rate of the behaviors during learning with/without observation of demonstrator's behavior

Those figures show the importance of learning through interaction between behavior acquisition and recognition of observed behaviors.

5 Conclusion

Above, values are defined as behaviors, which are defined by the achieved goals. The observer uses its own value functions to recognize what the demonstrator will do. Preliminary investigations in a similar context have been done by Takahashi at el. [7] and they showed much better robustness of behavior recognition than a typical method. In this paper, unknown behaviors are also understood in term of one's own value function through learning based on the estimated values derived from the observed behaviors. Furthermore, value update through the observation enhances not only the performance of behavior learning but also the one of recognition of the observed behavior effectively.

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